



UNITED STATES PATENT AND TRADEMARK OFFICE

MN
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/620,772	07/21/2000	Raynold M. Kahn	PD-200045	3987
20991 7590 07/26/2007 THE DIRECTV GROUP INC PATENT DOCKET ADMINISTRATION RE/R11/A109 P O BOX 956 EL SEGUNDO, CA 90245-0956			EXAMINER TRAN, ELLEN C	
			ART UNIT 2134	PAPER NUMBER
			MAIL DATE 07/26/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

09/620,772

Applicant(s)

KAHN ET AL.

Examiner

Ellen C. Tran

Art Unit

2134

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 April 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2,4-29 and 31-51 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-29 and 31-51 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date MAR-JULY 2007.

- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____.



DETAILED ACTION

1. This action is responsive to communication: amendment filed on 23 April 2007, with acknowledgement of an original application filed on 21 July 2000.
2. Claims 1, 2, 4-29, and 31-51, are pending; claims 1, 17, and 28 are independent claims. Claim 51 is new, amendment to the claims is accepted.
3. The IDS submitted 7 March 2007, 16 March 2007, 18 June 2007, and 10 July 2007 has been considered.

Response to Arguments

4. Applicant's arguments with respect to 1, 2, 4-29, and 31-50 have been considered but they are moot due to new grounds of rejection.

Note the Allowable Subject Matter in the last rejection has been withdrawn due to the new grounds of rejection.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2134

6. **Claims 1, 5, 14-18, 20, 25-28, 32, 34, 36, 40-43, and 51**, are rejected under 35 U.S.C. 103(a) as being unpatentable over Okabe et al. US Patent No. 6,889,208 (hereinafter '208) in view of Downs et al US Patent No. 6,574,609 (hereinafter '609).

As to independent claim 1, "A method of storing program material in a media storage device communicatively coupled to a receiver for subsequent replay, comprising the steps of:" is taught in '208 col. 7, lines 13-25;

"(b) decrypting the received access control information in a conditional access module releasably coupleable with the receiver to produce the first encryption key" is shown in '208 col. 7, lines 34-48;

the following is not explicitly taught in '208:

"(a) accepting encrypted access control information and the program material encrypted according to a first encryption key in the receiver, the access control information including a first encryption key and control data" however '609 teaches that program material is encrypted in a Secure Container that includes encrypted program material as well as access control information, i.e. usage conditions that is encrypted according to a first encryption key in col. 10, lines 5-17;

"(c) decrypting the program material using the first encryption key" however '609 teaches that program material can be decrypted in user devices in col. 11, line 64 through col. 12, line 11;

"(d) re-encrypting the program material according to a second encryption key; and" however '609 teaches that the End-User devices enforce usage conditions before copying to an external device in col. 20, lines 10-29;

“(e) encrypting the second encryption key according to a third encryption key to produce a fourth encryption key; (f) providing the re-encrypted program material and the fourth encryption key for storage” however ‘609 teaches that when a copy is generated a new encryption key is made in col. 20, lines 30-50.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of protecting digital content used in distribution taught in ‘208 to include a controlling the number of copies generated. One of ordinary skill in the art would have been motivated to perform such a modification because content distributors have been slow to embrace digital content distributions systems because of the lack of security for digital content see ‘609 (col. 2, lines 2-44) “The use of global distribution systems such as the Internet for distribution of digital assets such as music, film, computer programs, pictures, games and other content continues to grow. At the same time owners and publishers of valuable digital content have been slow to embrace the use of the Internet for distribution of digital assets for several reasons. One reason is that owners are afraid of unauthorized copying or pirating of digital content. The electronic delivery of digital content removes several barriers to pirating ... This degradation in quality is not present when a picture is stored digitally. Each copy, and every generation of copies can be as clear and crisp as the original. The aggregate effect of perfect digital copies combined with the very low cost to distribute content electronically and to distribute content widely over the Internet makes it relatively easy pirate and distribute unauthorized copies. With a couple of keystrokes, a pirate can send hundred or even of thousands of perfect copies of digital content over the Internet. Therefore a need exists to ensure the protection and security of digital assets distributed electronically. Providers of digital content

Art Unit: 2134

desire to establish a secure, global distribution system for digital content that protects the rights of content owners. The problems with establishing a digital content distribution system includes developing systems for digital content electronic distribution, rights management, and asset protection”.

As to dependent claim 5, “wherein the access control information further comprises metadata describing at least one right for the program material” however ‘609 teaches the Secure Container incorporates various usage conditions in col. 9, lines 45-60, note ‘a usage condition’ is interpreted to be equivalent to ‘at least one right for the program material’. The motivation to combine ‘609 and ‘208 is the same as stated above in claim 1.

As to dependent claim 14, “wherein the re-encrypted program material and the fourth encryption key are stored on a media storage device” however ‘609 teaches that when a copy is generated a new encryption key is made in col. 20, lines 30-50. The motivation to combine ‘609 and ‘208 is the same as stated above in claim 1.

As to dependent claim 15, “wherein the control data is temporally-variant” however ‘609 teaches that the usage conditions can have a time period associated with validity in col. 10, lines 39-43. The motivation to combine ‘609 and ‘208 is the same as stated above in claim 1.

As to dependent claim 16, “wherein the temporally-variant control data associates an expiration time with the program material” however ‘609 teaches that the usage conditions can have a time period associated with validity in col. 10, lines 39-43. The motivation to combine ‘609 and ‘208 is the same as stated above in claim 1.

As to independent claim 17, this claim is directed to the apparatus executing the method of claim 1 and 15; therefore it is rejected along similar rationale

As to dependent claim 18, further comprising: a tuner, communicatively coupleable to the conditional access module for receiving the encrypted access control information and the program material encrypted according to a first encryption key” is shown in ‘208 col. 6, lines 34-48 (Note tuner is considered equivalent to a communication device that communicates with a satellite);

“a third decryption module, for decrypting the program material using the first encryption key produced by the conditional access module; a second encryption module, for re-encrypting the decrypted program material according to the second encryption key; and a fourth decryption module, for decrypting the re-encrypted program material according to the second encryption key” however ‘609 teaches that when a copy is generated a new encryption key is made in col. 20, lines 30-50.

As to dependent claim 20, this claim contains substantially similar subject matter as claim 5; therefore it is rejected along similar rationale.

As to dependent claim 25, “wherein the second encryption key is stored in the conditional access module” is taught in ‘208 col. 7, lines 13-38.

As to dependent claim 26, “wherein the third encryption key is stored in the conditional access module” is shown in ‘208 col. 7, lines 13-38.

As to dependent claim 27, “wherein the conditional access module is releaseably communicative coupleable to: a tuner for receiving the encrypted access control information and the program material encrypted according to a first encryption key” is taught in ‘208 col. 6, lines 33-48;

“a third decryption module, for decrypting the program material using the first encryption key from the conditional access module a second encryption module, for re-encrypting the decrypted program material according to the key and a media storage device” however ‘609 teaches that when a copy is generated a new encryption key is made, in addition ‘609 teaches if allowed the copy can be to a media storage device in col. 20, lines 30-50.

As to independent claim 28, this claim is directed to the apparatus implementing the method of claim 1; therefore it is rejected along similar rationale.

As to dependent claims 32, this claim contains substantially similar subject matter as claim 5; therefore it is rejected along similar rationale.

As to dependent claim 34, “further comprising: means for generating replay right data from the metadata” however ‘609 teaches that the metadata contains in it the usage conditions in col. 10, lines 5-17, note these usage condition are equivalent to the ‘replay right data’ the means for generating is by using the Secure Container technology taught in ‘609.

As to dependent claim 36, “further comprising: means for retrieving the stored re-encrypted program material and the fourth encryption key; means for decrypting the fourth encryption key using the third encryption key to produce the second encryption key, and means for decrypting the re-encrypted material using the second encryption key” however ‘609 teaches that when a copy is generated a new encryption key is made in col. 20, lines 30-50.

As to dependent claim 40-43, these claim contain substantially similar subject matter as claims 14-16; therefore they are rejected along similar rationale.

As to dependent claim 51, “wherein the re-encrypted program material and the fourth encryption key are provided for storage external to the conditional access module”

however ‘609 teaches that the program material can be copied to external storage in col. 20, lines 10-29. The motivation to combine ‘208 and ‘609 is the same as stated above in claim 1.

7. **Claims 2, 4, 29, and 31**, are rejected under 35 U.S.C. 103(a) as being unpatentable over Okabe et al. US Patent No. 6,889,208 (hereinafter ‘208) in view of Downs et al US Patent No. 6,574,609 (hereinafter ‘609) in further view of Dolphin US Patent No. 5,677,953 (hereinafter ‘953).

As to dependent claim 2, the following is not taught in the combination of teachings of ‘609 and ‘208: **“wherein the encrypted access control information further comprises temporally-variant control data, and the method further comprises the steps of: decrypting the received access control information to produce the temporally variant control data; and modifying the temporally variant control data to generate temporally-invariant control data”** however ‘953 teaches that an attribute can be assigned to a decrypted content such as the time period the decryption key is valid for in col. 7, lines 7-11, in addition the usage conditions can be modified in col. 7, line 36 through col. 8, line 26.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of protecting digital content used in distribution taught in ‘208 and ‘609 to include a means to update the time variant control data. One of ordinary skill in the art would have been motivated to perform such a modification because a need exists for subscribers to update their subscription services when the service is terminated due to time limit see ‘953 (col. 2, lines 22 et seq.) “The need for protection of information stored on, for example, CD-

ROMs, or one a local or remote server from unauthorized access needs to be satisfied before such a publication distribution system is acceptable to publishers. Security provided at both the publisher's site and subscriber's site is needed to prevent the unauthorized access to data contained on the media. Moreover, valid subscribers need to be protected when their subscription service is terminated”.

As to dependent claim 4, “wherein the conditional access module on a smartcard” however ‘953 teaches the invention includes utilization of an encryption tool such as a smartcard in col. 2, lines 59-67. The motivation to combine ‘208, ‘609, and ‘953 is the same as stated above in claim 2.

As to dependent claims 29 and 31, these claims contain substantially similar subject matter as claims 2 and 4; therefore they are rejected along similar rationale.

8. **Claims 6-13, 19, 21-24, 33, 35, 37-39, and 44-50** are rejected under 35 U.S.C. 103(a) as being unpatentable over Okabe et al. US Patent No. 6,889,208 (hereinafter ‘208) in view of Downs et al US Patent No. 6,574,609 (hereinafter ‘609) in further view of Akins, III et al. U.S. Patent No. 6,560,340 (hereinafter ‘340).

As to dependent claim 6, the following is not explicitly taught in the combination of ‘208 and ‘609: **“further comprising the step of generating the second encryption key at least in part from the metadata”** however ‘340 teaches that data distributed with the content such as a ‘entitlement control messages’ may include a key or control word for what programs the subscriber is allowed to view in col. 4, lines 50-61.

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of protecting digital content used in distribution taught in ‘208 and ‘609 to

include an improved means of distributing content data. One of ordinary skill in the art would have been motivated to perform such a modification because more flexible means are needed to distribute data see '340 (col. 2, lines 60 et seq.) "Thus, the service distribution organizations require access restrictions which are both more secure and more flexible than those in conventional systems.

As to dependent claim 7, "wherein steps (b)-(f) are performed in response to a pre-buy Message" however '340 teaches in col. 12, lines 39-67. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 8, "wherein the access control information further comprises metadata describing at least one right for the program material and the method further comprises the step of: generating replay right data from the metadata" however '340 teaches in col. 31, lines 7-24. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 9, "wherein the replay right dam is further generated from pre-buy data" however '340 teaches in col. 31, lines 7-24. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 10, "further comprising the steps of retrieving the stored re-encrypted program material and the fourth encryption key; decrypting the fourth encryption key using the third encryption key to produce the second encryption key; and decrypting the re-encrypted material using the second encryption key" however '340 teaches in col. 6, lines 24-53. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 11, “wherein the step of decrypting the fourth encryption key using the third encryption key to produce the second encryption key is performed in response to a subscriber request to access the program material” however ‘340 teaches in col. 30; lines 38-67. The motivation to combine ‘340, ‘609 and ‘208 is the same as stated above in claim 6.

As to dependent claim 12, “wherein the access control information further comprises metadata describing at least one right for the program material, the subscriber request to access the program material comprises buy data, and the method further comprises the steps of; generating replay right data from the metadata; accepting the buy data; comparing the buy data with the replay right data; and decrypting the fourth encryption key using the third encryption key to produce the second encryption key according to the comparison between the buy data and the replay right data” however ‘340 col. 12, line 56 through col. 13, line 39. The motivation to combine ‘340, ‘609 and ‘208 is the same as stated above in claim 6.

As to dependent claim 13, “wherein steps (b)-(f) are performed in response to a pre-buy message, and wherein: the second encryption key and the third encryption key are stored in a smartcard, and the replay right data is generated from the metadata sued the pie-buy message in the smartcard; and the steps of accepting the buy data, comparing the buy data with the replay right data, and decrypting the fourth encryption key using the third encryption key to produce the second encryption key according to the comparison between the buy data arid the replay right data tire performed in the smartcard” however

Art Unit: 2134

'340 teaches in col. 21, lines 1-40. The motivation to combine '340, '609, and 208 is the same as stated above in claim 6.

As to dependent claim 19, "wherein the conditional access module further comprises: a pre-buy module, for controlling the first decryption module" however '340 teaches in col. 12, line 56 through col. 13, line 14. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 21, "wherein pre-buy module generates replay right data from the metadata" however '340 teaches the use of impulse pay per view message, the pay per view messages are received by the set top box, which obviously is the 'pre-buy module' in col. 12, lines 39-67. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 22, "further comprising a buy module, communicatively coupled to the pre-buy module" however '340 teaches the use of impulse pay per view message, the pay per view messages are received by the set top box, which obviously is the 'pre-buy module' in col. 12, lines 39-67. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 23, "wherein the buy module comprises: a purchase module; for accepting buy data, and comparing the buy data and the replay right data from the pre-buy module; and a control module for controlling the second decryption module based on the comparison between the buy data and the replay right data" however '340 teaches that the messages receive works with the delivery system and entitlement agents in col. 13,

Art Unit: 2134

lines 14-54. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 24, “further comprising a billing module, for recording the buy data” however '340 teaches the entitlement agent responds to the FPM by adjusting its billing as required in col. 40, lines 2-5. The motivation to combine '340, '609 and '208 is the same as stated above in claim 6.

As to dependent claim 44, “wherein the access control information further comprise metadata and the method further comprises the step of generating the second encryption key at least in part from metadata” however '340 teaches generating keys from the metadata received in col. 4, lines 50-61.

As to dependent claim 45, “further comprising the step of: augmenting the second encryption key with at least a portion of the metadata before encrypting the second encryption key in the conditional access module” however '340 teaches using the metadata received to update the control information in col. 4, lines 50-61.

As to dependent claim 46, “wherein the access control information further comprises metadata describing at least one right for the program material and the method further comprises the step of : augmenting the second encryption key with at least a portion of the metadata before encrypting the second encryption key in the conditional access module” however '340 teaches the metadata consist of program access rights and that these access rights can be used before encryption in col. 4, lines 50-61.

As to dependent claims 31-42, these claims contain substantially similar subject matter as claims 4-16, and 44-46; therefore they are rejected along similar rationale.

As to dependent claim 47, “wherein the conditional access module generates the second encryption key at least in part from the metadata” however ‘340 teaches in col. 4, lines 50-61. The motivation to combine ‘208 and ‘340 is the same as stated above in claim 17.

As to dependent claim 48, “wherein the access control information further comprises a metadata and the conditional access module generated the second encryption key at least in part from the metadata” however ‘340 teaches in col. 4, lines 50-61.

As to dependent claim 49, “wherein the conditional access module augments the second encryption key with at least a portion of the metadata before encrypting the second encryption key in the conditional access module” is taught in ‘208 col. 7, lines 13-25.

As to dependent claim 50, “wherein the access control information further comprises metadata, and wherein the conditional access module augments the second encryption key with at least a portion of the metadata before encrypting the second encryption key in the conditional access module” however ‘340 teaches in col. 4, lines 50-61.

The motivation to combine ‘208 and ‘340 is the same as stated above in claim 17.

Conclusion

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen C Tran whose telephone number is (571) 272-3842. The examiner can normally be reached from 6:00 am to 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Kambiz Zand can be reached on (571) 272-3811. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Art Unit: 2134

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Ellen Tran
Patent Examiner
Technology Center 2134
20 July 2007